

Annex A

Public

List of Authorities and Glossary

1) Filings in the case *Prosecutor v. Dominic Ongwen*, ICC-02/04-01/15

Short-title	Full citation
<u>Judgment</u>	Trial Judgment, 4 February 2021, ICC-02/04-01/15-1762-Conf (confidential version) / ICC-02/04-01/15-1762-Red (public redacted version)
<u>Mullally Brief</u>	S. Mullally, Special Rapporteur on Trafficking in Persons, especially women and children, Observations on the crimes of sexual slavery, enslavement and trafficking in persons, and on the grounds for excluding criminal responsibility: defences of duress, mental defect or disease and the non-punishment principle, 21 January 2022, ICC-02/04-01/15-1958
<u>Mullally Request</u>	S. Mullally, Special Rapporteur on Trafficking in Persons, especially women and children, Request for Leave to File an Amici Curiae Brief on sexual slavery and defence of duress pursuant to rule 103 of the Rules of Procedure and Evidence, 18 January 2022, ICC-02/04-01/15-1954
<u>OTP Amici Curiae Response</u>	Prosecution Response to <i>Amici Curiae</i> observations, 17 January 2022, ICC-02/04-01/15-1952
<u>OTP Response</u>	Public redacted version of “Prosecution Response to ‘Defence Appeal Brief Against the Convictions in the Judgment of 4 February 2021’ (ICC-02/04-01/15-1866-Conf)”, 21 October 2021, ICC-02/04-01/15-1882-Red

2) International and regional treaties and documents

Short-title	Full citation
<u>ACHPR</u>	African (Banjul) Charter on Human and Peoples’ Rights, adopted 27 June 1981, OAU Doc. CAB/LEG/67/3 rev. 5, 21 I.L.M. 58 (1982), entered into force 21 October 1986

Short-title	Full citation
CEDAW General Comment No. 38 (2020)	Committee on the Elimination of Discrimination against Women, General recommendation No. 38 (2020) on trafficking in women and girls in the context of global migration, CEDAW/C/GC/38, 20 November 2020
OHCHR Trafficking Principles	OHCHR, Recommended Principles and Guidelines on Human Rights and Human Trafficking, 2002
OSCE Trafficking Recommendations	OSCE, Office of the Special Representative and Co-ordinator for Combating Trafficking in Human Beings, Policy and legislative recommendations towards the effective implementation of the non-punishment provision with regard to the victims of trafficking, 2013
Palermo Protocol	Protocol to Prevent, Suppress and Punish Trafficking in Persons Especially Women and Children, supplementing the United Nations Convention against Transnational Organised Crime, adopted and opened for signature, ratification and accession by General Assembly resolution 55/25 of 15 November 2000

3) Academic or policy works

Short-title	Full citation
McDougall 1998 Report	G. McDougall, UN Special Rapporteur on the Situation of Systematic Rape, Sexual Slavery and Slavery-like Practices during Wartime, Systematic rape, sexual slavery and slavery-like practices during armed conflict: final report, 22 June 1998, E/CN.4/Sub.2/1998/13
Oosterveld	V. Oosterveld, <i>Sexual Slavery and the International Criminal Court: Advancing International Law</i> , 25 MICH. J. INT'L L. 605 (2004), available at: https://repository.law.umich.edu/mjil/vol25/iss3/2
OTP SGBC Policy	The Office of the Prosecutor, Policy Paper on Sexual and Gender-Based Crimes, June 2014

Short-title	Full citation
OTP Strategic Plan 2016-2018	The Office of the Prosecutor, Strategic Plan 2016-2018, 16 November 2015
Sellers and Kestenbaum (2020-A)	P.V. Sellers and J. G. Kestenbaum, ‘The International Crime of Slavery and Slave Trade: A Feminist Critique (December 2, 2020)’, Cardozo Legal Studies Research Paper No. 622, Gender and International Criminal Law, Valerie Oosterveld, Indira Rosenthal & Susana SáCouto, Oxford University Press, 2021, available at SSRN: https://ssrn.com/abstract=3741454
Sellers and Kestenbaum (2020-B)	P. V. Sellers and J. G. Kestenbaum, ‘Missing in Action: The International Crime of the Slave Trade,’ [2020] 18(2) <i>Journal of International Criminal Justice</i> 517
Siller	N. Siller, ‘Modern Slavery’: Does International Law Distinguish between Slavery, Enslavement and Trafficking?, [2016] 14(2) <i>Journal of International Criminal Justice</i> 405

4) Other abbreviations

Short-title	Full citation
Elements of Crimes	Elements of Crimes, International Criminal Court
Statute	Rome Statute of the International Criminal Court