

Public

Annex 1

Procedural Background

1. On 8 July 2019, Trial Chamber VI issued the Judgment and convicted Mr Ntaganda of five counts of crimes against humanity and thirteen counts of war crimes.¹
2. On 18 July 2019, Judge Howard Morrison was appointed Presiding Judge in any appeal arising from the Judgment.²
3. On 19 July 2019, following requests for time extensions filed by Mr Ntaganda and the Prosecutor,³ the Appeals Chamber extended the time limit for the filing of Mr Ntaganda's and the Prosecutor's notices of appeal against the Judgment by 30 days.⁴
4. On 9 September 2019, Mr Ntaganda filed his notice of appeal against the Judgment,⁵ indicating that the appeal would be directed against the whole decision and setting out fifteen grounds of appeal.
5. On 20 September 2019, the Appeals Chamber ruled on a further request for page and time extension for the appeal brief of Mr Ntaganda, instructing Mr Ntaganda to file his appeal brief in relation to the first two grounds of appeal identified in his notice of appeal by 7 October 2019 and extending the time limit for his appeal brief in relation to the remaining grounds of appeal to 14 January 2020.⁶

¹ See the [Judgment](#).

² See the "Decision on the Presiding Judge of the Appeals Chamber in any appeal against the decision of Trial Chamber VI entitled 'Judgment'" (Appeals Chamber), [No. ICC-01/04-02/06-2363 A](#), 18 July 2019.

³ See the "Request for extension of time to file notice of appeal", [No. ICC-01/04-02/06-2361 A](#), 17 July 2019 and the "Prosecution's response to request for extension of time to file notice of appeal", [No. ICC-01/04-02/06-2362 A](#), 18 July 2019.

⁴ See the "Decision on Mr Bosco Ntaganda's and the Prosecutor's requests for time extension for the notice of appeal and the appeal brief" (Appeals Chamber), [No. ICC-01/04-02/06-2364 A](#), 19 July 2019.

⁵ See the [Notice of Appeal](#).

⁶ See the "Decision on Mr Ntaganda's request for an extension of the page and time limit for the filing of the appeal brief and related matters" (Appeals Chamber), [No. ICC-01/04-02/06-2415 A](#), 20 September 2019.

6. On 1 October 2019, following a request for reconsideration filed by Mr Ntaganda,⁷ the Appeals Chamber varied its earlier decision, instructing Mr Ntaganda to file his appeal brief in relation to the first and third grounds of appeal identified in his notice of appeal by 11 November 2019 and the Prosecutor to file a response to Mr Ntaganda's appeal brief in relation to the first and third grounds of appeal by 27 January 2020.⁸

7. On 8 October 2019, the Appeals Chamber issued its decision on victim participation in the appeals against the Judgment.⁹ In relation to the appeal initiated by Mr Ntaganda, the victims who participated in the trial proceedings were authorised to participate for the purpose of presenting their views and concerns in respect of their personal interests in the issues on appeal. Each of the legal representatives of victims was instructed to file observations in two parts within 30 days of the notification of each of the Prosecutor's responses to Mr Ntaganda's appeal brief.

8. On 11 November 2019, Mr Ntaganda filed his appeal brief in relation to the first and third grounds of appeal identified in his notice of appeal.¹⁰

9. On 17 January 2020, the Appeal Chambers extended the time limit for the filing of Mr Ntaganda's appeal brief in relation to the remaining grounds of appeal to 31 January 2020 due to delays in the provision of the Kinyarwanda translation of the Judgment.¹¹

⁷ See the "Urgent request for reconsideration of the 'Decision on Mr Ntaganda's request for an extension of the page and time limit for the filing of the appeal brief and related matters'", [No. ICC-01/04-02/06-2417 A](#), 23 September 2019.

⁸ See the "Decision on Mr Ntaganda's request for reconsideration of the decision on time and page extensions" (Appeals Chamber), [No. ICC-01/04-02/06-2426 A](#), 1 October 2019.

⁹ See the "Decision on victim participation" (Appeals Chamber), [No. ICC-01/04-02/06-2439 A](#), 8 October 2019.

¹⁰ See the [First Appeal Brief](#).

¹¹ See the "Decision on the request for extension of time" (Appeals Chamber), [No. ICC-01/04-02/06-2461 A](#), 17 January 2020.

10. On 27 January 2020, the Prosecution filed its response to Mr Ntaganda's appeal brief in relation to the first and third grounds of appeal identified in his notice of appeal.¹²

11. On 31 January 2020, Mr Ntaganda filed his appeal brief in relation to the remaining grounds of appeal identified in his notice of appeal.¹³

12. On 3 February 2020, Mr Ntaganda filed the Defence Request for Leave to Reply, requesting leave to file a reply to the Prosecution First Response.¹⁴

13. On 6 February 2020, the Prosecution filed a response to the Defence Request for Leave to Reply.¹⁵

¹² See the [Prosecution First Response](#).

¹³ See the [Second Appeal Brief](#).

¹⁴ See the [Defence Request for Leave to Reply](#).

¹⁵ See the "Prosecution Response to the Defence Request for Leave to File a Reply to the 'Prosecution Response to Defence Appeal Brief – Part I'", [No. ICC-01/04-02/06-2467](#), 6 February 2020.