

ANNEX 1

Public

GLOSSARY AND LIST OF AUTHORITIES¹

A. FILINGS IN CASE ICC-01/04-02/06

Short-title	Reference
Additional Defence Confirmation Submissions	ICC-01/04-02/06-292-Red1
ALA Decision	ICC-01/04-02/06-322
Appeal	ICC-01/04-02/06-972 OA2
Confirmation Decision	ICC-01/04-02/06-309
Decision	ICC-01/04-02/06-892
Defence ALA	ICC-01/04-02/06-312
Directions Decision	ICC-01/04-02/06-966 OA2
Response to Request	ICC-01/04-02/06-818
Request	ICC-01/04-02/06-804
Motion to Dismiss <i>In Limine</i>	ICC-01/04-02/06-952 OA2
UDCC	ICC-01/04-02/06-458-AnxA

B. OTHER JURISPRUDENCE OF THIS COURT

Short-title	Reference
<i>DRC</i> AD	ICC-01/04-503 OA4 OA5
<i>Katanga</i> AD	ICC-01/04-01/07-3132 OA12
<i>Lubanga</i> AD	ICC-01/04-01/06-772 OA4
<i>Lubanga</i> First AD on	ICC-01/04-01/06-873 OA8

¹ Internet links provided last visited on 24 November 2015.

Request of Registrar	
<i>Lubanga</i> Second AD on Request of Registrar	ICC-01/04-01/06-3026 A4 A5 A6
<i>Lubanga</i> AJ	ICC-01/04-01/06-3121-Red A5
<i>Lubanga</i> TJ	ICC-01/04-01/06-2842
<i>Muthaura et al</i> AD	ICC-01/09-02/11-425 OA4
<i>Ruto and Sang</i> AD	ICC-01/09-01/11-1598 OA7 OA8
<i>Ruto et al</i> AD	ICC-01/09-01/11-414 OA3 OA4

C. JURISPRUDENCE OF OTHER JURISDICTIONS

(a) ICTR

Short-title	Reference
<i>Akayesu</i> AJ	<i>Prosecutor v. Akayesu</i> , ICTR-96-4-A, Judgment, 1 June 2001, available at http://www.unict.org/sites/unict.org/files/case-documents/ictr-96-4/appeals-chamber-judgements/en/010601.pdf
<i>Ndindiliyimana</i> TJ	<i>Prosecutor v. Ndindiliyimana et al</i> , ICTR-00-56-T, Judgment, 17 May 2011, available at http://www.unict.org/sites/unict.org/files/case-documents/ictr-99-50/trial-judgements/en/110517.pdf
<i>Semanza</i> TJ	<i>Prosecutor v. Semanza</i> , ICTR-97-20-T, Judgment, 15 May 2003, available at http://www.unict.org/sites/unict.org/files/case-documents/ictr-97-20/trial-judgements/en/030515.pdf

(b) ICTY

Short-title	Reference
<i>Delalić</i> AJ	<i>Prosecutor v. Delalić et al</i> , IT-96-21-A, Judgment, 20 February 2001, available at http://www.icty.org/x/cases/mucic/acjug/en/cel-aj010220.pdf
<i>Gotovina</i> AD	<i>Prosecutor v. Gotovina et al</i> , IT-06-90-AR72.1, Decision on Ante Gotovina's Interlocutory Appeal against Decision on Several Motions Challenging Jurisdiction, 6 June 2007, available at http://www.icty.org/x/cases/gotovina/acdec/en/070606.pdf
<i>Kunarac</i> AJ	<i>Prosecutor v. Kunarac et al</i> , IT-96-23 & IT-96-23/1-A, Judgment, 12 June 2002, available at http://www.icty.org/x/cases/kunarac/acjug/en/kun-aj020612e.pdf
<i>Kvočka</i> AJ	<i>Prosecutor v. Kvočka et al</i> , IT-98-30/1-A, Judgment, 28 February 2005, available at http://www.icty.org/x/cases/kvočka/acjug/en/kvo-aj050228e.pdf
<i>Tadić</i> AJ	<i>Prosecutor v. Tadić</i> , IT-94-1-A, Judgment, 15 July 1999, available at http://www.icty.org/x/cases/tadic/acjug/en/tad-aj990715e.pdf
<i>Tadić</i> TJ	<i>Prosecutor v. Tadić</i> , IT-94-1-T, Judgment, 7 May 1997, available at http://www.icty.org/x/cases/tadic/tjug/en/tad-ts70507JT2-e.pdf

(c) SCSL

Short-title	Reference
<i>Sesay TJ</i>	<i>Prosecutor v. Sesay et al</i> , SCSL-04-15-T, Judgment, 2 March 2009, available at http://www.rscsl.org/Documents/Decisions/RUF/1234/SCSL-04-15-T-1234-searchable.pdf

D. ACADEMIC WORKS

Short-title	Reference
Ambos	Ambos, K., <i>Treatise on International Criminal Law, Volume II: The Crimes and Sentencing</i> (Oxford: OUP, 2014)
Breau	Breau, S., 'Protected persons and objects,' in Wilmschurst and Breau (eds.), <i>Perspectives on the ICRC Study on Customary International Humanitarian Law</i> (Cambridge: CUP, 2007)
Byron	Byron, C., 'Legal redress for children on the front line: the invisibility of the female child,' in Bailliet, C.M. (ed.), <i>Non-State Actors, Soft Law and Protective Regimes</i> (Cambridge: CUP, 2012)
Cassese	Cassese, A., et al, <i>Cassese's International Criminal Law</i> , 3 rd Ed. (Oxford: OUP, 2013)
Cottier	Cottier, M., 'War crimes – para. 2 (b)(xxii)', in Triffterer (ed.), <i>Commentary on the Rome Statute of the International Criminal Court: Observers' Notes, Article by Article</i> , 2 nd Ed. (München/Oxford/Baden-Baden: C.H. Beck/Hart/Nomos, 2008)
Dinstein	Dinstein, Y., <i>Non-International Armed Conflicts in International Law</i> (Cambridge: CUP, 2014)

Dörmann et al	Dörmann et al, 'The context of war crimes,' in Lee et al (eds.), <i>The International Criminal Court: Elements of Crimes and Rules of Procedure and Evidence</i> (Ardsey: Transnational, 2001)
Dutli	Dutli, M., 'Captured child combatants,' [1990] <i>International Review of the Red Cross</i> No. 278, available at https://www.icrc.org/eng/resources/documents/misc/57jmea.htm
Focarelli	Focarelli, C., <i>International Law as Social Construct: the Struggle for Global Justice</i> (Oxford: OUP, 2012)
Gaggioli	Gaggioli, G., 'Sexual violence in armed conflicts: a violation of international humanitarian law and human rights law,' [2014] 96 (894) <i>International Review of the Red Cross</i> 503
Heintze and Lülfi	Heintze, H., and Lülfi, C., 'Special rules on children,' in Clapham et al (eds.), <i>The 1949 Geneva Conventions: a Commentary</i> (Oxford: OUP, 2015)
Kleffner (2013)	Kleffner, J., 'Friend or foe? On the protective reach of the law of armed conflict,' in Matthee et al (eds.), <i>Armed Conflict and International Law: In Search of the Human Face</i> (The Hague: T.M.C. Asser Press, 2013)
Kleffner (2015)	Kleffner, J., 'The beneficiaries of the rights stemming from Common Article 3,' in Clapham et al (eds.), <i>The 1949 Geneva Conventions: a Commentary</i> (Oxford: OUP, 2015)
La Haye	La Haye, E., 'Other serious violations in internal armed conflicts,' in Lee et al (eds.), <i>The International Criminal Court: Elements of Crimes and Rules of Procedure and Evidence</i> (Ardsey: Transnational, 2001)
Mettraux	Mettraux, G., <i>International Crimes and the Ad Hoc Tribunals</i> (Oxford: OUP, 2005)

Schabas	Schabas, W., <i>The International Criminal Court: a Commentary on the Rome Statute</i> (Oxford: OUP, 2010)
Sellers and Rosenthal	Sellers, P., and Rosenthal, I., 'Rape and other sexual violence,' in Clapham et al (eds.), <i>The 1949 Geneva Conventions: a Commentary</i> (Oxford: OUP, 2015)
Von Hebel	Von Hebel, H., 'War crimes in the Rome Statute,' in Lee et al (eds.), <i>The International Criminal Court: Elements of Crimes and Rules of Procedure and Evidence</i> (Ardsey: Transnational, 2001)
Wells	Wells, S., 'Crimes against child soldiers in armed conflict situations: application and limits of international humanitarian law,' [2004] 12 <i>Tulane Journal of International and Comparative Law</i> 287
Zimmermann	Zimmermann, A., 'War crimes – para. 2 (e)(vi)', in Triffterer (ed.), <i>Commentary on the Rome Statute of the International Criminal Court: Observers' Notes, Article by Article</i> , 2 nd Ed. (München/Oxford/Baden-Baden: C.H. Beck/Hart/Nomos, 2008)

E. TREATIES, DOMESTIC LEGISLATION, AND OTHER DOCUMENTS

Short-title	Reference
API	<i>Protocol Additional to the Geneva Conventions of 12 August 1949, and Relating to the Protection of Victims of International Armed Conflicts (Protocol I)</i> , of 8 June 1977, available at https://www.icrc.org/applic/ihl/ihl.nsf/xsp.ibmmოდres/domino/OpenAttachment/applic/ihl/ihl.nsf/D9E6B6264D7723C3C12563CD002D6CE4/FULLTEXT/AP-I-EN.pdf
APII	<i>Protocol Additional to the Geneva Conventions of 12 August 1949, and Relating to the Protection of Victims</i>

	of <i>Non-International Armed Conflicts (Protocol II)</i> , of 8 June 1977, available at https://www.icrc.org/applic/ihl/ihl.nsf/xsp/.ibmmmodres/domino/OpenAttachment/applic/ihl/ihl.nsf/A0C5BCBAB5C4A85C12563CD002D6D09/FULLTEXT/AP-II-EN.pdf
AP Commentary	Sandoz et al (eds.), <i>Commentary on the Additional Protocols of 8 June 1977 to the Geneva Conventions of 12 August 1949</i> (Geneva: Martinus Nijhoff, 1987)
CRC	<i>Convention on the Rights of the Child</i> , available at http://www.ohchr.org/Documents/ProfessionalInterest/crc.pdf
GCIII	<i>Geneva Convention Relative to the Treatment of Prisoners of War of 12 August 1949</i> , available at https://www.icrc.org/applic/ihl/ihl.nsf/xsp/.ibmmmodres/domino/OpenAttachment/applic/ihl/ihl.nsf/77CB9983BE01D004C12563CD002D6B3E/FULLTEXT/GC-III-EN.pdf
GCIV	<i>Geneva Convention Relative to the Protection of Civilian Persons in Time of War of 12 August 1949</i> , available at https://www.icrc.org/applic/ihl/ihl.nsf/xsp/.ibmmmodres/domino/OpenAttachment/applic/ihl/ihl.nsf/AE2D398352C5B028C12563CD002D6B5C/FULLTEXT/ATTXSYRB.pdf
<i>DPH Interpretive Guidance</i>	Melzer, <i>Interpretive Guidance on the Notion of Direct Participation in Hostilities</i> (Geneva: ICRC, 2009), available at https://www.icrc.org/eng/assets/files/other/icrc-002-0990.pdf
ICRC, <i>CIHL Study</i> , rule 135	ICRC, <i>Customary International Humanitarian Law</i> , rule 135, available at https://www.icrc.org/customary-ihl/eng/docs/v1_cha_chapter39_rule135

ICRC Text on Article 8	Preparatory Commission for the ICC, <i>Request from the Governments of Belgium, Costa Rica, Finland, Hungary, the Republic of Korea, and South Africa and the Permanent Observer Mission of Switzerland regarding the text prepared by the International Committee of the Red Cross on article 8 paragraph 2 (b), (c) and (e) of the Rome Statute of the International Criminal Court</i> , PCNICC/1999/WGEC/INF.2, 14 July 1999
STL Rules	STL, Rules of Procedure and Evidence, STL-BD-2009-01-Rev.7, 12 February 2015, available at http://www.stl-tsl.org/images/RPE/RPE_EN_February_2015.pdf
UK Manual	UK Ministry of Defence, <i>The Manual of the Law of Armed Conflict</i> (Oxford: OUP, 2004)