

**Cour
Pénale
Internationale**

**International
Criminal
Court**



Original: English

No.: ICC-02/04-01/15

Date: 11 May 2022

TRIAL CHAMBER IX

Before: Judge Bertram Schmitt, Presiding Judge
Judge Péter Kovács
Judge Raul C. Pangalangan

SITUATION IN UGANDA

**IN THE CASE OF
THE PROSECUTOR *v.* DOMINIC ONGWEN**

Confidential

Ex Parte only available to the Registry and the Office of the Prosecutor

**Transmission of the Republic of Uganda's submission on tracing, freezing, or
seizing of assets and properties of Mr Dominic Ongwen**

Source: The Registry

Document to be notified in accordance with regulation 31 of the *Regulations of the Court* to:

The Office of the Prosecutor

Mr Karim A. A. Khan QC
Mr Mame Mandiaye Niang
Mr Colin Black

Counsel for the Defence

Legal Representatives of the Victims

Legal Representatives of the Applicants

Unrepresented Victims

**Unrepresented Applicants
(Participation/Reparation)**

**The Office of Public Counsel for
Victims**

**The Office of Public Counsel for the
Defence**

States' Representatives
Republic of Uganda

Amicus Curiae

REGISTRY

Registrar

Mr Peter Lewis

Counsel Support Section

Victims and Witnesses Unit

Detention Section

**Victims Participation and Reparations
Section**

Other

I. Introduction

1. The Registry hereby transmits to Trial Chamber IX (“Chamber”) in accordance with rule 13 (1) of the Rules of Procedure and Evidence, information from the competent authorities of the Republic of Uganda, pursuant to the “Order for a Request for cooperation to the Republic of Uganda for the identification, tracing, freezing, or seizing of assets and properties of Mr Dominic Ongwen” (“Order”), issued by the Chamber on 15 November 2021.¹

II. Procedural History

2. On 18 November 2021, as ordered by the Chamber in its Order, the Registry prepared and transmitted a request for cooperation² to the competent authorities of the Republic of Uganda, requesting the Ugandan authorities to inform the Registry within three months, of any seizure of property and freezing of assets carried out in execution of this order and any financial information concerning Mr Dominic Ongwen, regardless of whether measures to freeze have yet been implemented (“Cooperation Request”).
3. On 19 November 2021, the Ugandan authorities confirmed the receipt of the Cooperation Request.³
4. On 18 February 2022, the Ugandan authorities requested an extension of the time limit of one month as their investigations and internal consultations were still in process. The Chamber granted this request.⁴

¹ Trial Chamber IX, “Order for a Request for cooperation to the Republic of Uganda for the identification, tracing, freezing, or seizing of assets and properties of Mr Dominic Ongwen”, ICC-02/04-01/15-1898-Conf-Exp.

² Annex I.

³ Annex II.

⁴ Email from Trial Chamber IX to Registry on 18 February 2022 at 16.48.

5. On 18 March 2022, the Ugandan authorities further requested an extension of the time limit until 29 April 2022, due to their continuing aforementioned investigations. The Chamber granted this request.⁵
6. On 2 May 2022, the Ugandan authorities informed the Registry, by way of e-mail⁶, that they did not identify any asset belonging to Mr Dominic Ongwen and they would communicate their formal response in due course. The Ugandan authorities presented their apologies for the delay.
7. On 10 May 2022, the Registry received a Note Verbale from the competent authorities of the Republic of Uganda⁷ to be transmitted to the Chamber.

III. Classification

8. Pursuant to regulation 23 *bis*(2) of the Regulations of the Court ("RoC"), the Registry submits the present submission and Annexes I to III as confidential *ex parte* only available to the Registry and the Office of the Prosecutor as it refers to Court records with the same level of classification.

⁵ Email from Trial Chamber IX to Registry on 22 March 2022 at 14.57.

⁶ Email from the Ugandan authorities to Registry on 2 May 13.42.

⁷ Annex III.

IV. Submission

9. The Registry hereby transmits, as Annex III, the information submitted by the competent authorities of the Republic of Uganda.



p.p. Marc Dubuisson
Director, Division of Judicial Services on behalf of
Peter Lewis, Registrar

Dated this 11 May 2022

At The Hague, the Netherlands